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**ECS – Sistema de Control de Exportaciones**

# **Condiciones y Reglas de la presentación de Declaración Sumaria de Salida (EXS)**

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## **Revisiones**

<b>Edi.</b>	<b>Rev.</b>	<b>Fecha</b>	<b>Descripción</b>	<b>A(*)</b>	<b>Páginas</b>
1	0	18/08/2010	Versión inicial	A	Todas
1	1	07/09/2010	Inclusión de condiciones C991 y C993 y regla R992	R	Todas
1	2	10/12/2010	Inclusión de condición C994	R	Todas
1	3	10/02/2011	Aclaración regla TR9085	R	9
1	4	06/06/2011	Eliminación de regla TR0021	R	9

**(\*) Acción:** A= Añadir; R= Reemplazar



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## 1. Introducción

Este documento forma parte de la documentación técnica para la construcción de los mensajes referidos a la Declaración Sumaria de Salida, en el marco del proyecto ECS (Export Control System) - sistema de control de exportaciones. Contiene las reglas y condiciones que se aplican a cada uno de los campos a declarar en los mensajes.

Se pueden distinguir tres tipos de condiciones a cumplir en la construcción de los mensajes del sistema:

- **Lista de Condiciones CXXX**
- **Lista de reglas RXXX**
- **Lista de regla técnicas TRXXXX**



## **2. Control de Versiones**

### **2.1. Versión 1.0**

Creación del documento.

### **2.2. Versión 1.1**

Añadidas reglas y condiciones: C991, R992 y C993.

### **2.3. Versión 1.2**

Añadida condición C994.

### **2.4. Versión 1.3**

Aclaración regla TR9085.

### **2.5. Versión 1.4**

Eliminación de regla TR0021.



### 3. Lista de Condiciones y Reglas

#### **C061**

IF 'Kind of packages' (Box 31) indicates 'BULK' (UNECE rec 21 : 'VQ', 'VG', 'VL', 'VY','VR' or 'VO')  
THEN 'Number of packages' (box 31) can not be used  
    'Number of Pieces' (box 31) can not be used  
ELSE  
    IF 'Kind of packages' (Box 31) indicates 'UNPACKED' (UNECE rec 21: = 'NE', 'NF' or 'NG')  
        THEN 'Number of packages' can not be used  
            'Number of Pieces' (box 31) = 'R'  
    ELSE 'Number of packages' (box 31) = 'R'  
        'Number of Pieces' (box 31) can not be used

#### **C501**

IF the attribute 'TIN' is present in the data group  
THEN this attribute = 'O'  
ELSE this attribute = 'R'

#### **C562**

IF 'Specific circumstance indicator is 'E'  
THEN the attribute = 'R'  
ELSE the attribute = 'O'

#### **C567**

IF the attrib 'Specific circumstance indicator = 'A'  
THEN the attribute 'Commercial Reference Number (box 7)', 'Commercial Reference Number (ex box 7)'  
and the datagroup 'PRODUCED DOCUMENTS/CERTIFICATES' = 'O'  
ELSE  
    IF the attribute 'Commercial Reference Number (box 7)' and Commercial Reference Number (ex box 7)' is  
    not used,  
        THEN at least one attribute 'PRODUCED DOCUMENTS/CERTIFICATES.Document type' pointing  
        to a transport document must be present  
    ELSE the data group 'PRODUCED DOCUMENTS/CERTIFICATES' = 'O'

#### **C570**

IF the attribute 'Specific circumstance incicator' = 'B'  
THEN the data group is = 'O'  
ELSE  
    IF the attribute 'Specific circumstance incicator' = 'A'  
        THEN the attribute must occur at least once (min. 1 value)  
        ELSE this attribute must occur at least twice (min. 2 values)

#### **C576**

IF the attribute 'EXPORT OPERATION/ IMPORT OPERATION / TRANSIT OPERATION.Transport charges –  
Method of payment' is used  
THEN this attribute cannot be used  
ELSE this attribute = 'O'

#### **C577**

IF the attribute 'Specific circumstance indicator' = 'A' or 'B'  
THEN this data group or attribute = 'O'  
ELSE this data group or attribute = 'R'



### **C580**

IF the attribute 'Specific circumstance indicator' = 'E'  
THEN this attribute is = 'O'  
ELSE this attribute is = 'R'

### **C581**

IF the attribute 'GOODS ITEM.Gross mass' is used  
THEN this attribute is = 'R'  
ELSE this attribute is = 'O'

### **C585**

IF the attribute 'GOODS ITEM.Textual description' is used  
THEN this data group is = 'O'  
ELSE this data group is = 'R'

### **C991**

IF the attribute 'Document operation' is used  
THEN the attribute 'Document/reference number' is = 'R'  
ELSE this attribute cannot be used

IF the attribute 'Document/reference number' is used  
THEN the attribute 'Document operation' is = 'R'  
ELSE this attribute cannot be used

### **C993**

This attribute only appears IF the attribute 'RISK ANALYSIS.Customs intervention code' = 'V' when 'RISK ANALYSIS.Item number involved' = 0

### **C994**

IF the attribute PREVIOUS DOCUMENT.Document type= 'CNV' or 'T2L'  
THEN this attribute is = 'O'  
ELSE this attribute is = 'R'

### **R005**

"Item no" (box 32) is always used even if "Items" (box 5) = "1", "Item no" (box 32) is also "1".

### **R007**

Each 'Item no' (box 32) is unique throughout the declaration The items shall be numbered in a sequential fashion, starting from '1' for the first item and incrementing the numbering by '1' for each following item".

### **R010**

If the same Consignor/Exporter is declared for all goods items, the data group 'TRADER Consignor (box 2)/TRADER Exporter (box 2)' on TRANSIT OPERATION/EXPORT OPERATION/IMPORT OPERATION level is used. The data group 'TRADER Consignor (ex box 2)/TRADER Exporter (box 2)' on GOODS ITEM level can not be used.

### **R011**

When the same Consignee is declared for all goods items, the data group 'TRADER Consignee (box 8)' on TRANSIT OPERATION/EXPORT OPERATION level is used. The data group 'TRADER Consignee (ex box 8)' on GOODS ITEM level cannot be used.



### **R021**

A zero '0' is to be considered as a valid number in this field.

### **R105**

The total number of packages is equal to the sum of all 'Number of packages' + all 'Number of pieces' + a value of '1' for each declared 'bulk'.

### **R123**

From the originally received IE, only the attributes in error are transmitted back to the Trader, indicating whether the attribute(s) in question is (are) missing or incorrect.

### **R660**

The time has to be given in the format YYYYMMDDHHMM where:

YYYY = Year

MM = Month

DD = Day

HH = Hour

MM = Minute

### **R804**

The data group 'TRADER Consignor' must be used if it is different from the data group 'PERSON Lodging the summary declaration'.

### **R824**

The 'Item Number (box 32) involved' shall be indicated if the risk analysis result relates to an item of an Entry summary declaration (ICS), Exit summary declaration or Export declaration (ECS) or Transit declaration (NCTS). In any other case the attribute 'Item Number (box 32) involved' shall not be used.

### **R837**

The EORI Trader Identification number (TIN) shall be declared.

### **R839**

The 'Specific circumstance indicator' with value 'E' (AEO) can be used only if the 'Person lodging the exit summary declaration' and all 'Consignors' declared in the exit summary declaration/ 'Declarant' and all 'Exporters' declared in the export declaration have a valid AEO certificate of type 'AEOF' or 'AEOS'.

### **R875**

The attribute 'Item Number' refers to corresponding 'Item number' of the E\_EXS\_DAT (IE 615)/E\_ENS\_DAT (IE315) /E\_EXP\_DAT (IE515)/ E\_DEC\_DAT (IE15).

### **R876**

If the same 'Commercial reference number' is declared for all goods items, the attribute 'Commercial reference number' (box 7) on HEADER level is used. The attribute 'Commercial reference number' (ex box 7) on GOODS ITEM level can not be used.

### **R879**

Exit: The country of final destination must be entered in any case. If the specific circumstance indicator is not equal to 'A', then at least the country of original departure must be entered additionally.

Entry: The country of original departure must be entered in any case. If the specific circumstance indicator is not





equal to 'A', then at least the country of final destination must be entered additionally.

### ***R881***

If the attribute is used, its minimum length is 4 digits.

### ***R992***

IF the attribute 'RISK ANALYSIS.Item number involved' is = '0'  
THEN this attribute refers to EXS header intervention code  
ELSE this attribute refers to EXS goods item header intervention code

### ***TR0022***

In case the value of a MESSAGE.GOODS ITEM.PACKAGES.Number of Packages is '0' then there should exist at least one GOODS ITEM with the same 'Marks and Numbers of Packages', and 'Number of Packages' with value greater than '0'.

### ***TR9085***

In Normal Mode of Operation if this field is used it must have a value of 0.  
For testing purposes it must have a value of 1.

### ***TR9120***

If used, this data item has to be filled in at GOODS ITEM level only if there is more than one value. If there is one value, it has to be filled in at HEADER level.

### ***TR9181***

The field is Required for response and rejection messages. It does not apply for requests and one way messages.